



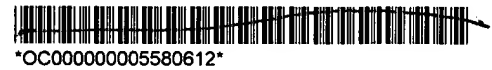
UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2
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JAN 17 2001
PATENT & TRADEMARK OFFICE
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/669,353	09/26/2000	David W. Pennington	1417Q P 279

Wallenstein & Wagner Ltd
53rd Floor
311 South Wacker Drive
Chicago, IL 60606-6622

FORMALITIES LETTER



OC000000005580612

Date Mailed: 11/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$306.
 - \$306 for 17 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1126.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/19/2001 SDUONG 00000023 09669353
01 FC:101 710.00 OP
02 FC:105 130.00 OP
03 FC:103 306.00 OP



26851

PATENT TRADEMARK OFFICE

PATENT

Attorney Docket No. 1417Q P 279

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application of:
DAVID W. PENNINGTON, et al.

Application No.: 09/669,353

Filed: September 26, 2000

For: MECHANICAL BREAKUP UNIT FOR
BIOCHEMICALLY REACTIVE FLUID DELIVERY
DEVICE

) Examiner: Unassigned

) Group Art Unit: 3762

REQUEST FOR CORRECTED FILING RECEIPT

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Attached is a copy of the official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified Application, for which issuance of a Corrected Filing Receipt is respectfully requested.

As indicated by the highlighting on the enclosed copy of the Filing Receipt, the priority information is not correctly identified in the "Continuing Data" section. The reference to application number 08/860,864 has an incorrect filing date. It should read "Which is a CIP of 08/860,864 07/14/1997 PAT 6,074,663."

Respectfully submitted,

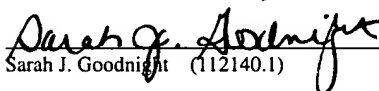
Dated: January 15, 2001

By: 

Robert W. Diehl, Reg. No. 35,118
Wallenstein & Wagner, Ltd.
311 South Wacker Drive, 53rd Floor
Chicago, Illinois 60606-6622
312.554.3300

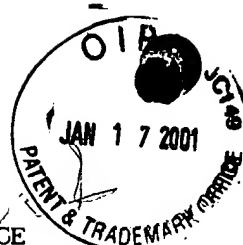
CERTIFICATE OF MAILING

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner of Patents, Washington, D.C. 20231 on January 15, 2001.


Sarah J. Goodnight (112140.1)



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/669,353	09/26/2000	3762	0	1417Q P 279	15	37	1

Wallenstein & Wagner Ltd
53rd Floor
311 South Wacker Drive
Chicago, IL 60606-6622

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DEC 07 2000

WALLENSTEIN & WAGNER

FILING RECEIPT



OC000000005580611

Date Mailed: 11/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David W. Pennington, Residence Not Provided;
Atif M. Yardimci, Residence Not Provided;
David Amrani, Residence Not Provided;
Arnold C. Bilstad, Residence Not Provided;
Yves A. Delmotte, Residence Not Provided;
James P. Diorio, Residence Not Provided;
Robert L. Petersen, Residence Not Provided;
Joseph F. Prybell, Residence Not Provided;
James Slepicka, Residence Not Provided;
Cristina Stadler, Residence Not Provided;
John Womelsdorf, Residence Not Provided;

TO DOCKETING

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/386,198 08/31/1999
WHICH IS A CIP OF 08/679,658 07/12/1996 PAT 5,989,215
WHICH IS A CIP OF 08/860,864 08/28/1997 PAT 6,074,663 *
WHICH IS A 371 OF PCT/EP96/00160 01/16/1995
(*) Data inconsistent with PTO records.

07/14/1997

Foreign Applications

GERMANY 195 01 067.1 01/16/1995

If Required, Foreign Filing License Granted 11/08/2000

Title

Mechanical breakup unit for biochemically reactive fluid delivery device

Preliminary Class

604

Data entry by : NGUYEN, TRAC

Team : OIPE

Date: 11/28/2000



Attorney Docket No. 1417Q P 279



26851 PATENT
PATENT TRADEMARK OFFICE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application of:
DAVID W. PENNINGTON, et al.

Application No.: 09/669,353

Filed: September 26, 2000

For: MECHANICAL BREAKUP UNIT FOR
BIOCHEMICALLY REACTIVE FLUID DELIVERY
DEVICE

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) Examiner: Unassigned
)
)
) Group Art Unit: 3762
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RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS
Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application," dated November 28, 2000,
Applicants enclose the following:

1. Copy of Notice to File Missing Parts of Application;
2. Four (4) separate executed Declarations and Powers of Attorney;
3. Request for Corrected Filing Receipt; and,
4. Check in the amount of \$1,146.00 in payment of the following: (1) filing fee for the application and (2) the surcharge for filing this Response.

You are hereby authorized to debit or credit our Deposit Account No. 23-0280 for payment
deficiencies or overpayments with regard to the above-identified Application.

Respectfully submitted,


Dated: January 15, 2001

By: 

Robert W. Diehl, Reg. No. 35,118
Customer No. 26851
WALLENSTEIN & WAGNER, LTD.
311 South Wacker Drive - 53rd Floor
Chicago, Illinois 60606
(312) 554-3300

CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the United States Postal Service as first class mail, postage-prepaid, in an envelope addressed to: BOX MISSING PARTS, Commissioner of Patents, Washington, D.C. 20231, on January 15, 2001.


Sarah J. Goodnight (113438.1)